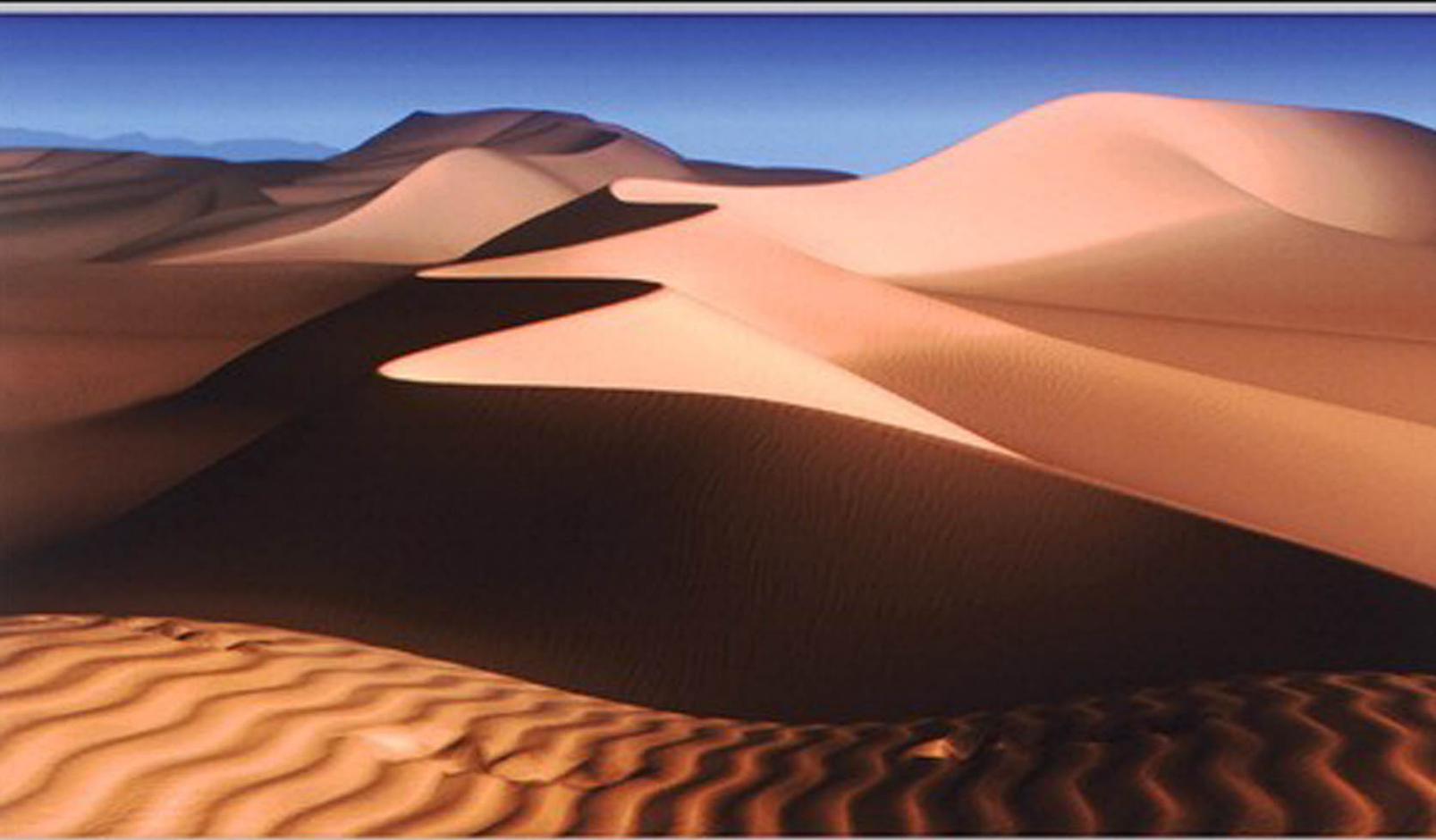


# UNIVERSITY CALCULUS

*Early Transcendentals*

THIRD EDITION



Hass ■ Weir ■ Thomas

# UNIVERSITY CALCULUS EARLY TRANSCENDENTALS

Third Edition

**Joel Hass**

University of California, Davis

**Maurice D. Weir**

Naval Postgraduate School

**George B. Thomas, Jr.**

Massachusetts Institute of Technology

*with the assistance of*

**Christopher Heil**

Georgia Institute of Technology

**PEARSON**

Boston Columbus Indianapolis New York San Francisco  
Amsterdam Cape Town Dubai London Madrid Milan Munich Paris Montréal Toronto  
Delhi Mexico City São Paulo Sydney Hong Kong Seoul Singapore Taipei Tokyo

**Editorial Director:** Chris Hoag  
**Editor in Chief:** Deirdre Lynch  
**Senior Acquisitions Editor:** William Hoffman  
**Editorial Assistant:** Salena Casha  
**Program Manager:** Danielle S. Miller  
**Project Manager:** Rachel S. Reeve  
**Program Management Team Lead:** Marianne Stepanian  
**Project Management Team Lead:** Christina Lepre  
**Media Producer:** Stephanie Green  
**TestGen Content Manager:** John Flanagan  
**MathXL Content Manager:** Kristina Evans  
**Marketing Manager:** Jeff Weidenaar  
**Marketing Assistant:** Brooke Smith  
**Senior Author Support/Technology Specialist:** Joe Vetere  
**Rights and Permissions Project Manager:** Diahanne Lucas  
**Procurement Specialist:** Carol Melville  
**Associate Director of Design:** Andrea Nix  
**Program Design Lead:** Beth Paquin  
**Production Coordination, Composition:** Cenveo® Publisher Services  
**Illustrations:** Karen Hartpence, Cenveo® Publisher Services  
**Cover Design:** Studio Montage

**Cover Image:** Sand Dunes | © Firefly Productions/CORBIS

Copyright © 2016, 2012, 2007 by Pearson Education, Inc. All Rights Reserved. Printed in the United States of America. This publication is protected by copyright, and permission should be obtained from the publisher prior to any prohibited reproduction, storage in a retrieval system, or transmission in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise. For information regarding permissions, request forms and the appropriate contacts within the Pearson Education Global Rights & Permissions department, please visit [www.pearsoned.com/permissions/](http://www.pearsoned.com/permissions/).

Acknowledgments of third party content appear on page xiv, which constitutes an extension of this copyright page.

PEARSON, ALWAYS LEARNING, MyMathLab, MathXL, and TestGen are exclusive trademarks in the U.S. and/or other countries owned by Pearson Education, Inc. or its affiliates.

Unless otherwise indicated herein, any third-party trademarks that may appear in this work are the property of their respective owners and any references to third-party trademarks, logos or other trade dress are for demonstrative or descriptive purposes only. Such references are not intended to imply any sponsorship, endorsement, authorization, or promotion of Pearson's products by the owners of such marks, or any relationship between the owner and Pearson Education, Inc. or its affiliates, authors, licensees or distributors.

#### Library of Congress Cataloging-in-Publication Data

Hass, Joel.

University calculus : early transcendentals / Joel Hass, University of California, Davis, Maurice D. Weir,  
Naval Postgraduate School, George B. Thomas, Jr., Massachusetts Institute of Technology. —Third edition.  
pages cm

Includes index.

ISBN 978-0-321-99958-0

1. Calculus—Textbooks. I. Weir, Maurice D. II. Thomas, George B., Jr. (George Brinton), 1914-2006. III. Title.

QA303.2.H373 2016

515—dc23

2014010356

1 2 3 4 5 6 7 8 9 10—CRK—18 17 16 15 14

**PEARSON**

[www.pearsonhighered.com](http://www.pearsonhighered.com)

ISBN 13: 978-0-321-99958-0

ISBN 10: 0-321-99958-4

# Contents

**Preface** ix

**Credits** xiv

## 1

### **Functions** 1

- 1.1 Functions and Their Graphs 1
- 1.2 Combining Functions; Shifting and Scaling Graphs 14
- 1.3 Trigonometric Functions 21
- 1.4 Graphing with Software 29
- 1.5 Exponential Functions 33
- 1.6 Inverse Functions and Logarithms 38

## 2

### **Limits and Continuity** 51

- 2.1 Rates of Change and Tangents to Curves 51
- 2.2 Limit of a Function and Limit Laws 58
- 2.3 The Precise Definition of a Limit 69
- 2.4 One-Sided Limits 78
- 2.5 Continuity 85
- 2.6 Limits Involving Infinity; Asymptotes of Graphs 96
- [Questions to Guide Your Review](#) 110
- [Practice Exercises](#) 110
- [Additional and Advanced Exercises](#) 112

## 3

### **Derivatives** 115

- 3.1 Tangents and the Derivative at a Point 115
- 3.2 The Derivative as a Function 119
- 3.3 Differentiation Rules 128
- 3.4 The Derivative as a Rate of Change 138
- 3.5 Derivatives of Trigonometric Functions 147
- 3.6 The Chain Rule 153
- 3.7 Implicit Differentiation 161
- 3.8 Derivatives of Inverse Functions and Logarithms 166
- 3.9 Inverse Trigonometric Functions 176
- 3.10 Related Rates 182
- 3.11 Linearization and Differentials 190
- [Questions to Guide Your Review](#) 201
- [Practice Exercises](#) 202
- [Additional and Advanced Exercises](#) 206

- ## 4 Applications of Derivatives 209
- 4.1 Extreme Values of Functions 209
  - 4.2 The Mean Value Theorem 217
  - 4.3 Monotonic Functions and the First Derivative Test 225
  - 4.4 Concavity and Curve Sketching 230
  - 4.5 Indeterminate Forms and L'Hôpital's Rule 241
  - 4.6 Applied Optimization 250
  - 4.7 Newton's Method 261
  - 4.8 Antiderivatives 265
  - Questions to Guide Your Review 275
  - Practice Exercises 276
  - Additional and Advanced Exercises 280
- ## 5 Integrals 283
- 5.1 Area and Estimating with Finite Sums 283
  - 5.2 Sigma Notation and Limits of Finite Sums 293
  - 5.3 The Definite Integral 300
  - 5.4 The Fundamental Theorem of Calculus 312
  - 5.5 Indefinite Integrals and the Substitution Method 323
  - 5.6 Definite Integral Substitutions and the Area Between Curves 331
  - Questions to Guide Your Review 341
  - Practice Exercises 341
  - Additional and Advanced Exercises 344
- ## 6 Applications of Definite Integrals 347
- 6.1 Volumes Using Cross-Sections 347
  - 6.2 Volumes Using Cylindrical Shells 358
  - 6.3 Arc Length 366
  - 6.4 Areas of Surfaces of Revolution 372
  - 6.5 Work 376
  - 6.6 Moments and Centers of Mass 382
  - Questions to Guide Your Review 390
  - Practice Exercises 390
  - Additional and Advanced Exercises 392
- ## 7 Integrals and Transcendental Functions 393
- 7.1 The Logarithm Defined as an Integral 393
  - 7.2 Exponential Change and Separable Differential Equations 403
  - 7.3 Hyperbolic Functions 412
  - Questions to Guide Your Review 420
  - Practice Exercises 420
  - Additional and Advanced Exercises 421

- ## 8 Techniques of Integration 422
- 8.1 Integration by Parts 423
  - 8.2 Trigonometric Integrals 429
  - 8.3 Trigonometric Substitutions 435
  - 8.4 Integration of Rational Functions by Partial Fractions 440
  - 8.5 Integral Tables and Computer Algebra Systems 447
  - 8.6 Numerical Integration 452
  - 8.7 Improper Integrals 462
  - [Questions to Guide Your Review](#) 473
  - [Practice Exercises](#) 473
  - [Additional and Advanced Exercises](#) 476
- ## 9 Infinite Sequences and Series 478
- 9.1 Sequences 478
  - 9.2 Infinite Series 490
  - 9.3 The Integral Test 499
  - 9.4 Comparison Tests 506
  - 9.5 Absolute Convergence; The Ratio and Root Tests 510
  - 9.6 Alternating Series and Conditional Convergence 516
  - 9.7 Power Series 522
  - 9.8 Taylor and Maclaurin Series 532
  - 9.9 Convergence of Taylor Series 537
  - 9.10 The Binomial Series and Applications of Taylor Series 543
  - [Questions to Guide Your Review](#) 551
  - [Practice Exercises](#) 552
  - [Additional and Advanced Exercises](#) 554
- ## 10 Parametric Equations and Polar Coordinates 557
- 10.1 Parametrizations of Plane Curves 557
  - 10.2 Calculus with Parametric Curves 564
  - 10.3 Polar Coordinates 574
  - 10.4 Graphing Polar Coordinate Equations 578
  - 10.5 Areas and Lengths in Polar Coordinates 581
  - 10.6 Conics in Polar Coordinates 586
  - [Questions to Guide Your Review](#) 592
  - [Practice Exercises](#) 593
  - [Additional and Advanced Exercises](#) 594
- ## 11 Vectors and the Geometry of Space 596
- 11.1 Three-Dimensional Coordinate Systems 596
  - 11.2 Vectors 601
  - 11.3 The Dot Product 610
  - 11.4 The Cross Product 618

- 11.5 Lines and Planes in Space 624
- 11.6 Cylinders and Quadric Surfaces 632
  - [Questions to Guide Your Review](#) 637
  - [Practice Exercises](#) 638
  - [Additional and Advanced Exercises](#) 640

## 12 Vector-Valued Functions and Motion in Space 642

- 12.1 Curves in Space and Their Tangents 642
- 12.2 Integrals of Vector Functions; Projectile Motion 650
- 12.3 Arc Length in Space 656
- 12.4 Curvature and Normal Vectors of a Curve 661
- 12.5 Tangential and Normal Components of Acceleration 667
- 12.6 Velocity and Acceleration in Polar Coordinates 669
  - [Questions to Guide Your Review](#) 673
  - [Practice Exercises](#) 673
  - [Additional and Advanced Exercises](#) 675

## 13 Partial Derivatives 676

- 13.1 Functions of Several Variables 676
- 13.2 Limits and Continuity in Higher Dimensions 684
- 13.3 Partial Derivatives 693
- 13.4 The Chain Rule 704
- 13.5 Directional Derivatives and Gradient Vectors 713
- 13.6 Tangent Planes and Differentials 721
- 13.7 Extreme Values and Saddle Points 730
- 13.8 Lagrange Multipliers 739
  - [Questions to Guide Your Review](#) 748
  - [Practice Exercises](#) 749
  - [Additional and Advanced Exercises](#) 752

## 14 Multiple Integrals 755

- 14.1 Double and Iterated Integrals over Rectangles 755
- 14.2 Double Integrals over General Regions 760
- 14.3 Area by Double Integration 769
- 14.4 Double Integrals in Polar Form 773
- 14.5 Triple Integrals in Rectangular Coordinates 779
- 14.6 Moments and Centers of Mass 788
- 14.7 Triple Integrals in Cylindrical and Spherical Coordinates 795
- 14.8 Substitutions in Multiple Integrals 806
  - [Questions to Guide Your Review](#) 816
  - [Practice Exercises](#) 816
  - [Additional and Advanced Exercises](#) 819

<b>15</b>	<b>Integrals and Vector Fields</b>	821
15.1	Line Integrals	821
15.2	Vector Fields and Line Integrals: Work, Circulation, and Flux	828
15.3	Path Independence, Conservative Fields, and Potential Functions	840
15.4	Green's Theorem in the Plane	851
15.5	Surfaces and Area	863
15.6	Surface Integrals	874
15.7	Stokes' Theorem	885
15.8	The Divergence Theorem and a Unified Theory	897
	<a href="#">Questions to Guide Your Review</a>	908
	<a href="#">Practice Exercises</a>	908
	<a href="#">Additional and Advanced Exercises</a>	911

<b>16</b>	<b>First-Order Differential Equations</b>	online
16.1	Solutions, Slope Fields, and Euler's Method	
16.2	First-Order Linear Equations	
16.3	Applications	
16.4	Graphical Solutions of Autonomous Equations	
16.5	Systems of Equations and Phase Planes	

<b>17</b>	<b>Second-Order Differential Equations</b>	online
17.1	Second-Order Linear Equations	
17.2	Nonhomogeneous Linear Equations	
17.3	Applications	
17.4	Euler Equations	
17.5	Power Series Solutions	

### **Appendices** AP-1

A.1	Real Numbers and the Real Line	AP-1
A.2	Mathematical Induction	AP-6
A.3	Lines and Circles	AP-10
A.4	Conic Sections	AP-16
A.5	Proofs of Limit Theorems	AP-24
A.6	Commonly Occurring Limits	AP-27
A.7	Theory of the Real Numbers	AP-28
A.8	Complex Numbers	AP-31
A.9	The Distributive Law for Vector Cross Products	AP-39
A.10	The Mixed Derivative Theorem and the Increment Theorem	AP-41

### **Answers to Odd-Numbered Exercises** A-1

### **Index** I-1

### **A Brief Table of Integrals** T-1



*This page intentionally left blank*

# Preface

This third edition of *University Calculus* provides a streamlined treatment of the material in a standard three-semester or four-quarter course taught at the university level. As the title suggests, the book aims to go beyond what many students may have seen at the high school level. By emphasizing rigor and mathematical precision, supported with examples and exercises, this book encourages students to think more clearly than if they were using rote procedures.

Generalization drives the development of calculus and is pervasive in this book. Slopes of lines generalize to slopes of curves, lengths of line segments to lengths of curves, areas and volumes of regular geometric figures to areas and volumes of shapes with curved boundaries, rational exponents to irrational ones, and finite sums to series. Plane analytic geometry generalizes to the geometry of space, and single variable calculus to the calculus of many variables. Generalization weaves together the many threads of calculus into an elegant tapestry that is rich in ideas and their applications.

Mastering this beautiful subject is its own reward, but the real gift of mastery is the ability to think through problems clearly—distinguishing between what is known and what is assumed, and using a logical sequence of steps to reach a solution. We intend this book to capture the richness and powerful applicability of calculus, and to support student thinking and understanding for mastery of the material.

## New to this Edition

---

In this new edition, we have followed the basic structure of earlier editions. Taking into account helpful suggestions from readers and users of previous editions, we continued to improve clarity and readability. We also made the following improvements:

- Updated and added numerous exercises throughout, with emphasis on the mid-level and more in the life science areas
- Reworked many figures and added new ones
- Moved the discussion of conditional convergence to follow the Alternating Series Test
- Enhanced the discussion defining differentiability for functions of several variables with more emphasis on linearization
- Showed that the derivative along a path generalizes the single-variable chain rule
- Added more geometric insight into the idea of multiple integrals and the meaning of the Jacobian in substitutions for their evaluations
- Developed surface integrals of vector fields as generalizations of line integrals
- Extended and clarified the discussion of the curl and divergence, and added new figures to help visualize their meanings

## Continuing Features

---

**RIGOR** The level of rigor is consistent with that of earlier editions. We continue to distinguish between formal and informal discussions and to point out their differences. We think starting with a more intuitive, less formal, approach helps students understand a new or difficult concept so they can then appreciate its full mathematical precision and outcomes. We pay attention to defining ideas carefully and to proving theorems appropriate for calculus students, while mentioning deeper or subtler issues they would study in a more advanced course. Our organization and distinctions between informal and formal discussions give the instructor a degree of flexibility in the amount and depth of coverage of the various topics. For example, while we do not prove the Intermediate Value Theorem or the Extreme Value Theorem for continuous functions on  $a \leq x \leq b$ , we do state these theorems precisely, illustrate their meanings in numerous examples, and use them to prove other important results. Furthermore, for those instructors who desire greater depth of coverage, in Appendix 7 we discuss the reliance of these theorems on the completeness of the real numbers.

**WRITING EXERCISES** Writing exercises placed throughout the text ask students to explore and explain a variety of calculus concepts and applications. In addition, the end of each chapter contains a list of questions to help students review and summarize what they have learned. Many of these exercises make good writing assignments.

**END-OF-CHAPTER REVIEWS** In addition to problems appearing after each section, each chapter culminates with review questions, practice exercises covering the entire chapter, and a series of Additional and Advanced Exercises providing more challenging or synthesizing problems.

**WRITING AND APPLICATIONS** As always, this text continues to be easy to read, conversational, and mathematically rich. Each new topic is motivated by clear, easy-to-understand examples and is then reinforced by its application to real-world problems of immediate interest to students. A hallmark of this book has been the application of calculus to science and engineering. These applied problems have been updated, improved, and extended continually over the past several editions.

**TECHNOLOGY** In a course using the text, technology can be incorporated at the discretion of the instructor. Each section contains exercises requiring the use of technology; these are marked with a “T” if suitable for calculator or computer use, or they are labeled “Computer Explorations” if a computer algebra system (CAS, such as Maple or Mathematica) is required.

## Additional Resources

---

### **INSTRUCTOR’S SOLUTIONS MANUAL (download only)**

Single Variable Calculus (Chapters 1–10)

Multivariable Calculus (Chapters 9–15)

The *Instructor’s Solutions Manual* contains complete worked-out solutions to all of the exercises. These files are available to qualified instructors through the Pearson Instructor Resource Center, [www.pearsonhighered.com/irc](http://www.pearsonhighered.com/irc), and MyMathLab.

**STUDENT'S SOLUTIONS MANUAL**

Single Variable Calculus (Chapters 1–10), ISBN 0-321-99980-0 | 978-0-321-99980-1

Multivariable Calculus (Chapters 9–15), ISBN 0-321-99985-1 | 978-0-321-99985-6

The *Student's Solutions Manual* is designed for the student and contains carefully worked-out solutions to all the odd-numbered exercises.

**JUST-IN-TIME ALGEBRA AND TRIGONOMETRY FOR EARLY  
TRANSCENDENTALS CALCULUS, Fourth Edition**

ISBN 0-321-67103-1 | 978-0-321-67103-5

Sharp algebra and trigonometry skills are critical to mastering calculus, and *Just-in-Time Algebra and Trigonometry for Early Transcendentals Calculus* by Guntram Mueller and Ronald I. Brent is designed to bolster these skills while students study calculus. As students make their way through calculus, this text is with them every step of the way, showing the necessary algebra or trigonometry topics and pointing out potential problem spots. The easy-to-use table of contents has algebra and trigonometry topics arranged in the order in which students will need them as they study calculus.

**Technology Resource Manuals (download only)**

*Maple Manual* by Marie Vanisko, Carroll College

*Mathematica Manual* by Marie Vanisko, Carroll College

*TI-Graphing Calculator Manual* by Elaine McDonald-Newman, Sonoma State University

These manuals cover Maple 17, Mathematica 8, and the TI-83 Plus/TI-84 Plus and TI-89, respectively. Each manual provides detailed guidance for integrating a specific software package or graphing calculator throughout the course, including syntax and commands.

These manuals are available to qualified instructors through the *University Calculus: Early Transcendentals* Web site, [www.pearsonhighered.com/thomas](http://www.pearsonhighered.com/thomas), and MyMathLab.

**WEB SITE [www.pearsonhighered.com/thomas](http://www.pearsonhighered.com/thomas)**

The *University Calculus: Early Transcendentals* Web site contains the chapters on First-Order Differential Equations and Second-Order Differential Equations, including odd-numbered answers, and provides the expanded historical biographies and essays referenced in the text. The Technology Resource Manuals and the **Technology Application Projects**, which can be used as projects by individual students or groups of students, are also available.

**MyMathLab® Online Course (access code required)**

MyMathLab from Pearson is the world's leading online resource in mathematics, integrating interactive homework, assessment, and media in a flexible, easy-to-use format. It provides engaging experiences that personalize, stimulate, and measure learning for each student. And, it comes from an experienced partner with educational expertise and an eye on the future.

To learn more about how MyMathLab combines proven learning applications with powerful assessment, visit [www.mymathlab.com](http://www.mymathlab.com) or contact your Pearson representative.

**MathXL® Online Course (access code required)**

MathXL® is the homework and assessment engine that runs MyMathLab. (MyMathLab is MathXL plus a learning management system.)

With MathXL, instructors can:

- Create, edit, and assign online homework and tests using algorithmically generated exercises correlated at the objective level to the textbook.
- Create and assign their own online exercises and import TestGen tests for added flexibility.
- Maintain records of all student work tracked in MathXL's online gradebook.

With MathXL, students can:

- Take chapter tests in MathXL and receive personalized study plans and/or personalized homework assignments based on their test results.
- Use the study plan and/or the homework to link directly to tutorial exercises for the objectives they need to study.
- Access supplemental animations and video clips directly from selected exercises.

MathXL is available to qualified adopters. For more information, visit our Web site at [www.mathxl.com](http://www.mathxl.com), or contact your Pearson representative.

### **Video Lectures with Optional Captioning**

The Video Lectures with Optional Captioning feature an engaging team of mathematics instructors who present comprehensive coverage of topics in the text. The lecturers' presentations include examples and exercises from the text and support an approach that emphasizes visualization and problem solving. Available only through MyMathLab and MathXL.

### **TestGen®**

TestGen® ([www.pearsoned.com/testgen](http://www.pearsoned.com/testgen)) enables instructors to build, edit, print, and administer tests using a computerized bank of questions developed to cover all the objectives of the text. TestGen is algorithmically based, allowing instructors to create multiple but equivalent versions of the same question or test with the click of a button. Instructors can also modify test bank questions or add new questions. The software and test bank are available for download from Pearson Education's online catalog.

### **PowerPoint® Lecture Slides**

These classroom presentation slides are geared specifically to the sequence and philosophy of *University Calculus: Early Transcendentals*. Key graphics from the book are included to help bring the concepts alive in the classroom. These files are available to qualified instructors through the Pearson Instructor Resource Center, [www.pearsonhighered.com/irc](http://www.pearsonhighered.com/irc), and MyMathLab.

## Acknowledgments

---

We wish to express our gratitude to the reviewers of this and previous editions, who provided such invaluable insight and comment.

- Harry Allen, *Ohio State University*  
 Edoh Amiran, *Western Washington University*  
 Anthony Bedenikovic, *Bradley University*  
 Robert A. Beezer, *University of Puget Sound*  
 Przemyslaw Bogacki, *Old Dominion University*  
 Deborah Brandon, *Carnegie Mellon University*  
 Samuel Chamberlin, *Park University*  
 Leonard Chastofsky, *University of Georgia*  
 Meighan Dillon, *Southern Polytechnic State University*  
 Anne Dougherty, *University of Colorado*  
 Said Fariabi, *San Antonio College*  
 Klaus Fischer, *George Mason University*  
 Tim Flood, *Pittsburg State University*  
 Rick Ford, *California State University—Chico*  
 Toni Fountain, *Chattanooga State Community College*  
 Robert Gardner, *East Tennessee State University*  
 Mark Greer, *University of North Alabama*  
 Ivan Gotchev, *Central Connecticut State University*  
 Christopher Heil, *Georgia Institute of Technology*  
 David Hemmer, *SUNY—Buffalo*  
 Joshua Brandon Holden, *Rose-Hulman Institute of Technology*  
 Alexander Hulpke, *Colorado State University*  
 Jacqueline Jensen, *Sam Houston State University*  
 Jennifer M. Johnson, *Princeton University*  
 Hideaki Kaneko, *Old Dominion University*  
 Przemko Kranz, *University of Mississippi*  
 John Kroll, *Old Dominion University*  
 Krystyna Kuperberg, *Auburn University*  
 Glenn Ledder, *University of Nebraska—Lincoln*  
 Matthew Leingang, *New York University*  
 Xin Li, *University of Central Florida*  
 Abey Lopez-Garcia, *University of South Alabama*  
 Maura Mast, *University of Massachusetts—Boston*  
 Val Mohanakumar, *Hillsborough Community College—Dale Mabry Campus*  
 Aaron Montgomery, *Central Washington University*  
 Yibiao Pan, *University of Pittsburgh*  
 Christopher M. Pavone, *California State University at Chico*  
 Cynthia Piez, *University of Idaho*  
 Brooke Quinlan, *Hillsborough Community College—Dale Mabry Campus*  
 Paul Sacks, *Iowa State University*  
 Rebecca A. Segal, *Virginia Commonwealth University*  
 Andrew V. Sills, *Georgia Southern University*  
 Edward E. Slaminka, *Auburn University*  
 Alex Smith, *University of Wisconsin—Eau Claire*  
 Mark A. Smith, *Miami University*  
 Donald Solomon, *University of Wisconsin—Milwaukee*  
 John Sullivan, *Black Hawk College*  
 Stephen Summers, *University of Florida*  
 Maria Terrell, *Cornell University*  
 Blake Thornton, *Washington University in St. Louis*  
 Ruth Trubnik, *Delaware Valley College*  
 Ilie Ugarcovici, *Rice University*  
 David Walnut, *George Mason University*  
 Adrian Wilson, *University of Montevallo*  
 Bobby Winters, *Pittsburg State University*  
 Dennis Wortman, *University of Massachusetts—Boston*  
 Yilian Zhang, *University of South Carolina, Aiken*

# Credits

## **Chapter 3**

**Page 145, Exercise 19**, PSSC PHYSICS, 2nd ed., Reprinted by permission of Education Development Center, Inc.

## **Chapter 6**

**Page 383, Figure 6.40**, PSSC PHYSICS, 2nd ed., Reprinted by permission of Educational Development Center, Inc.

## **Chapter 9**

**Page 493, Figure 9.9**, PSSC PHYSICS, 2nd ed., Reprinted by permission of Educational Development Center, Inc.

## **Chapter 13**

**Page 680, Figure 13.7**, Reprinted by permission of the Appalachian Mountain Club;  
**Page 713, Figure 13.26**, U.S. Geological Survey

## **Chapter 15**

**Page 828, Figures 15.6 and 15.7**, National Committee for Fluid Mechanics, edited by Shapiro, ILLUSTRATED EXPERIMENTS IN FLUID MECHANICS: THE NCFMF BOOK OF FILM NOTES, reprinted by permission of Educational Development Center, Inc.; **Page 830, Figure 15.15**, InterNetwork Media, Inc., courtesy of NASA/JPL

# Functions

**OVERVIEW** Functions are fundamental to the study of calculus. In this chapter we review what functions are and how they are pictured as graphs, how they are combined and transformed, and ways they can be classified. We review the trigonometric functions, and we discuss misrepresentations that can occur when using calculators and computers to obtain a function's graph. We also discuss inverse, exponential, and logarithmic functions. The real number system, Cartesian coordinates, straight lines, circles, parabolas, ellipses, and hyperbolas are reviewed in the Appendices.

## 1.1 Functions and Their Graphs

Functions are a tool for describing the real world in mathematical terms. A function can be represented by an equation, a graph, a numerical table, or a verbal description; we will use all four representations throughout this book. This section reviews these function ideas.

### Functions; Domain and Range

The temperature at which water boils depends on the elevation above sea level (the boiling point drops as you ascend). The interest paid on a cash investment depends on the length of time the investment is held. The area of a circle depends on the radius of the circle. The distance an object travels at constant speed along a straight-line path depends on the elapsed time.

In each case, the value of one variable quantity, say  $y$ , depends on the value of another variable quantity, which we might call  $x$ . We say that “ $y$  is a function of  $x$ ” and write this symbolically as

$$y = f(x) \quad (\text{“}y \text{ equals } f \text{ of } x\text{”}).$$

In this notation, the symbol  $f$  represents the function, the letter  $x$  is the **independent variable** representing the input value of  $f$ , and  $y$  is the **dependent variable** or output value of  $f$  at  $x$ .

**DEFINITION** A **function**  $f$  from a set  $D$  to a set  $Y$  is a rule that assigns a *unique* (single) element  $f(x) \in Y$  to each element  $x \in D$ .

The set  $D$  of all possible input values is called the **domain** of the function. The set of all output values of  $f(x)$  as  $x$  varies throughout  $D$  is called the **range** of the function. The range may not include every element in the set  $Y$ . The domain and range of a function can be any sets of objects, but often in calculus they are sets of real numbers interpreted as points of a coordinate line. (In Chapters 12–15, we will encounter functions for which the elements of the sets are points in the coordinate plane or in space.)



Often a function is given by a formula that describes how to calculate the output value from the input variable. For instance, the equation  $A = \pi r^2$  is a rule that calculates the area  $A$  of a circle from its radius  $r$  (so  $r$ , interpreted as a length, can only be positive in this formula). When we define a function  $y = f(x)$  with a formula and the domain is not stated explicitly or restricted by context, the domain is assumed to be the largest set of real  $x$ -values for which the formula gives real  $y$ -values, which is called the **natural domain**. If we want to restrict the domain in some way, we must say so. The domain of  $y = x^2$  is the entire set of real numbers. To restrict the domain of the function to, say, positive values of  $x$ , we would write “ $y = x^2, x > 0$ .”

Changing the domain to which we apply a formula usually changes the range as well. The range of  $y = x^2$  is  $[0, \infty)$ . The range of  $y = x^2, x \geq 2$ , is the set of all numbers obtained by squaring numbers greater than or equal to 2. In set notation (see Appendix 1), the range is  $\{x^2 | x \geq 2\}$  or  $\{y | y \geq 4\}$  or  $[4, \infty)$ .

When the range of a function is a set of real numbers, the function is said to be **real-valued**. The domains and ranges of most real-valued functions of a real variable we consider are intervals or combinations of intervals. The intervals may be open, closed, or half open, and may be finite or infinite. Sometimes the range of a function is not easy to find.

A function  $f$  is like a machine that produces an output value  $f(x)$  in its range whenever we feed it an input value  $x$  from its domain (Figure 1.1). The function keys on a calculator give an example of a function as a machine. For instance, the  $\sqrt{x}$  key on a calculator gives an output value (the square root) whenever you enter a nonnegative number  $x$  and press the  $\sqrt{x}$  key.

A function can also be pictured as an **arrow diagram** (Figure 1.2). Each arrow associates an element of the domain  $D$  with a unique or single element in the set  $Y$ . In Figure 1.2, the arrows indicate that  $f(a)$  is associated with  $a$ ,  $f(x)$  is associated with  $x$ , and so on. Notice that a function can have the same *value* at two different input elements in the domain (as occurs with  $f(a)$  in Figure 1.2), but each input element  $x$  is assigned a *single* output value  $f(x)$ .

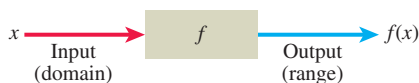


FIGURE 1.1 A diagram showing a function as a kind of machine.

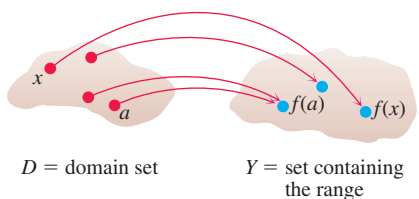


FIGURE 1.2 A function from a set  $D$  to a set  $Y$  assigns a unique element of  $Y$  to each element in  $D$ .

**EXAMPLE 1** Let’s verify the natural domains and associated ranges of some simple functions. The domains in each case are the values of  $x$  for which the formula makes sense.

Function	Domain ( $x$ )	Range ( $y$ )
$y = x^2$	$(-\infty, \infty)$	$[0, \infty)$
$y = 1/x$	$(-\infty, 0) \cup (0, \infty)$	$(-\infty, 0) \cup (0, \infty)$
$y = \sqrt{x}$	$[0, \infty)$	$[0, \infty)$
$y = \sqrt{4 - x}$	$(-\infty, 4]$	$[0, \infty)$
$y = \sqrt{1 - x^2}$	$[-1, 1]$	$[0, 1]$

**Solution** The formula  $y = x^2$  gives a real  $y$ -value for any real number  $x$ , so the domain is  $(-\infty, \infty)$ . The range of  $y = x^2$  is  $[0, \infty)$  because the square of any real number is nonnegative and every nonnegative number  $y$  is the square of its own square root,  $y = (\sqrt{y})^2$  for  $y \geq 0$ .

The formula  $y = 1/x$  gives a real  $y$ -value for every  $x$  except  $x = 0$ . For consistency in the rules of arithmetic, we cannot divide any number by zero. The range of  $y = 1/x$ , the set of reciprocals of all nonzero real numbers, is the set of all nonzero real numbers, since  $y = 1/(1/y)$ . That is, for  $y \neq 0$  the number  $x = 1/y$  is the input assigned to the output value  $y$ .

The formula  $y = \sqrt{x}$  gives a real  $y$ -value only if  $x \geq 0$ . The range of  $y = \sqrt{x}$  is  $[0, \infty)$  because every nonnegative number is some number’s square root (namely, it is the square root of its own square).

In  $y = \sqrt{4 - x}$ , the quantity  $4 - x$  cannot be negative. That is,  $4 - x \geq 0$ , or  $x \leq 4$ . The formula gives real  $y$ -values for all  $x \leq 4$ . The range of  $\sqrt{4 - x}$  is  $[0, \infty)$ , the set of all nonnegative numbers.

The formula  $y = \sqrt{1 - x^2}$  gives a real  $y$ -value for every  $x$  in the closed interval from  $-1$  to  $1$ . Outside this domain,  $1 - x^2$  is negative and its square root is not a real number. The values of  $1 - x^2$  vary from  $0$  to  $1$  on the given domain, and the square roots of these values do the same. The range of  $\sqrt{1 - x^2}$  is  $[0, 1]$ . ■

### Graphs of Functions

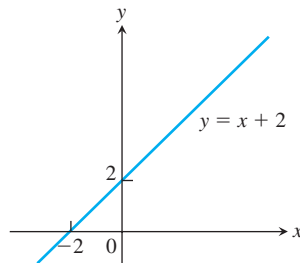
If  $f$  is a function with domain  $D$ , its **graph** consists of the points in the Cartesian plane whose coordinates are the input-output pairs for  $f$ . In set notation, the graph is

$$\{(x, f(x)) \mid x \in D\}.$$

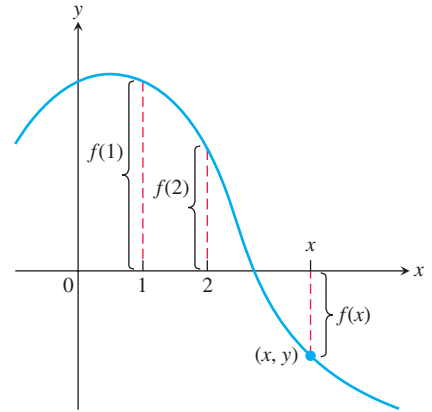
The graph of the function  $f(x) = x + 2$  is the set of points with coordinates  $(x, y)$  for which  $y = x + 2$ . Its graph is the straight line sketched in Figure 1.3.

The graph of a function  $f$  is a useful picture of its behavior. If  $(x, y)$  is a point on the graph, then  $y = f(x)$  is the height of the graph above (or below) the point  $x$ . The height may be positive or negative, depending on the sign of  $f(x)$  (Figure 1.4).

$x$	$y = x^2$
-2	4
-1	1
0	0
1	1
$\frac{3}{2}$	$\frac{9}{4}$
2	4



**FIGURE 1.3** The graph of  $f(x) = x + 2$  is the set of points  $(x, y)$  for which  $y$  has the value  $x + 2$ .

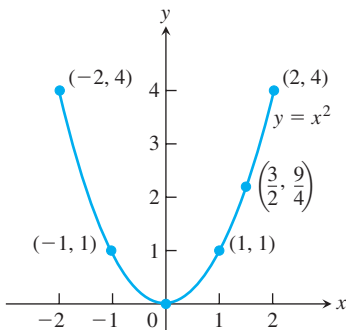


**FIGURE 1.4** If  $(x, y)$  lies on the graph of  $f$ , then the value  $y = f(x)$  is the height of the graph above the point  $x$  (or below  $x$  if  $f(x)$  is negative).

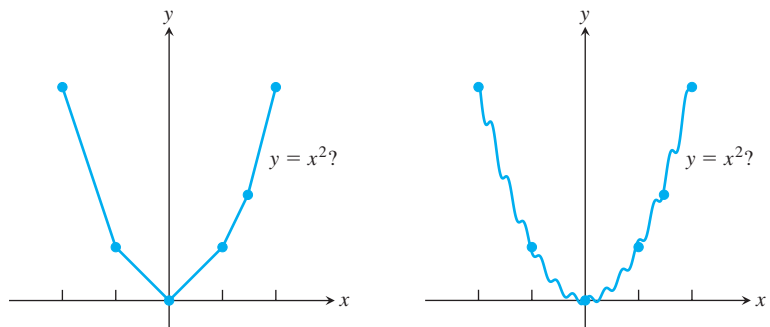
**EXAMPLE 2** Graph the function  $y = x^2$  over the interval  $[-2, 2]$ .

**Solution** Make a table of  $xy$ -pairs that satisfy the equation  $y = x^2$ . Plot the points  $(x, y)$  whose coordinates appear in the table, and draw a *smooth curve* (labeled with its equation) through the plotted points (see Figure 1.5). ■

How do we know that the graph of  $y = x^2$  doesn't look like one of these curves?



**FIGURE 1.5** Graph of the function in Example 2.



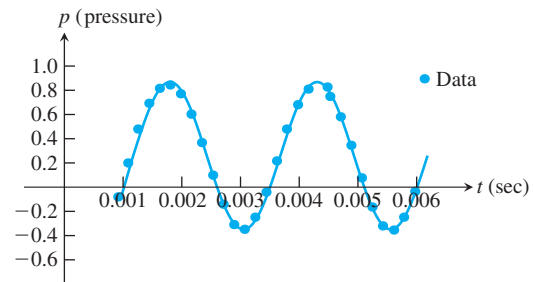
To find out, we could plot more points. But how would we then connect *them*? The basic question still remains: How do we know for sure what the graph looks like between the points we plot? Calculus answers this question, as we will see in Chapter 4. Meanwhile, we will have to settle for plotting points and connecting them as best we can.

### Representing a Function Numerically

We have seen how a function may be represented algebraically by a formula (the area function) and visually by a graph (Example 2). Another way to represent a function is **numerically**, through a table of values. Numerical representations are often used by engineers and experimental scientists. From an appropriate table of values, a graph of the function can be obtained using the method illustrated in Example 2, possibly with the aid of a computer. The graph consisting of only the points in the table is called a **scatterplot**.

**EXAMPLE 3** Musical notes are pressure waves in the air. The data associated with Figure 1.6 give recorded pressure displacement versus time in seconds of a musical note produced by a tuning fork. The table provides a representation of the pressure function over time. If we first make a scatterplot and then connect approximately the data points  $(t, p)$  from the table, we obtain the graph shown in the figure.

Time	Pressure	Time	Pressure
0.00091	-0.080	0.00362	0.217
0.00108	0.200	0.00379	0.480
0.00125	0.480	0.00398	0.681
0.00144	0.693	0.00416	0.810
0.00162	0.816	0.00435	0.827
0.00180	0.844	0.00453	0.749
0.00198	0.771	0.00471	0.581
0.00216	0.603	0.00489	0.346
0.00234	0.368	0.00507	0.077
0.00253	0.099	0.00525	-0.164
0.00271	-0.141	0.00543	-0.320
0.00289	-0.309	0.00562	-0.354
0.00307	-0.348	0.00579	-0.248
0.00325	-0.248	0.00598	-0.035
0.00344	-0.041		

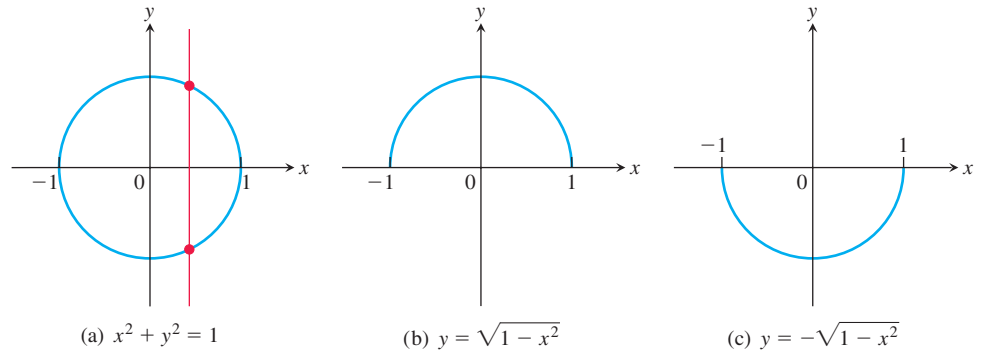


**FIGURE 1.6** A smooth curve through the plotted points gives a graph of the pressure function represented by the accompanying tabled data (Example 3).

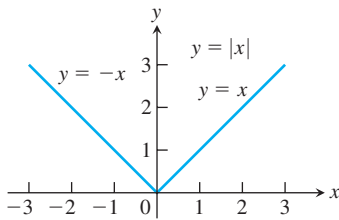
### The Vertical Line Test for a Function

Not every curve in the coordinate plane can be the graph of a function. A function  $f$  can have only one value  $f(x)$  for each  $x$  in its domain, so *no vertical* line can intersect the graph of a function more than once. If  $a$  is in the domain of the function  $f$ , then the vertical line  $x = a$  will intersect the graph of  $f$  at the single point  $(a, f(a))$ .

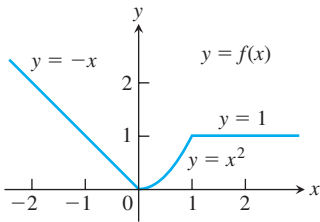
A circle cannot be the graph of a function, since some vertical lines intersect the circle twice. The circle graphed in Figure 1.7a, however, does contain the graphs of functions of  $x$ , such as the upper semicircle defined by the function  $f(x) = \sqrt{1 - x^2}$  and the lower semicircle defined by the function  $g(x) = -\sqrt{1 - x^2}$  (Figures 1.7b and 1.7c).



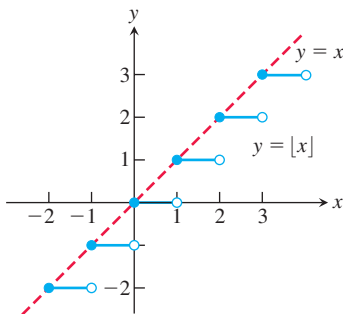
**FIGURE 1.7** (a) The circle is not the graph of a function; it fails the vertical line test. (b) The upper semicircle is the graph of a function  $f(x) = \sqrt{1 - x^2}$ . (c) The lower semicircle is the graph of a function  $g(x) = -\sqrt{1 - x^2}$ .



**FIGURE 1.8** The absolute value function has domain  $(-\infty, \infty)$  and range  $[0, \infty)$ .



**FIGURE 1.9** To graph the function  $y = f(x)$  shown here, we apply different formulas to different parts of its domain (Example 4).



**FIGURE 1.10** The graph of the greatest integer function  $y = [x]$  lies on or below the line  $y = x$ , so it provides an integer floor for  $x$  (Example 5).

### Piecewise-Defined Functions

Sometimes a function is described in pieces by using different formulas on different parts of its domain. One example is the **absolute value function**

$$|x| = \begin{cases} x, & x \geq 0 & \text{First formula} \\ -x, & x < 0, & \text{Second formula} \end{cases}$$

whose graph is given in Figure 1.8. The right-hand side of the equation means that the function equals  $x$  if  $x \geq 0$ , and equals  $-x$  if  $x < 0$ . Piecewise-defined functions often arise when real-world data are modeled. Here are some other examples.

**EXAMPLE 4** The function

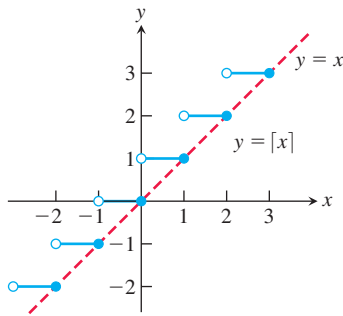
$$f(x) = \begin{cases} -x, & x < 0 & \text{First formula} \\ x^2, & 0 \leq x \leq 1 & \text{Second formula} \\ 1, & x > 1 & \text{Third formula} \end{cases}$$

is defined on the entire real line but has values given by different formulas, depending on the position of  $x$ . The values of  $f$  are given by  $y = -x$  when  $x < 0$ ,  $y = x^2$  when  $0 \leq x \leq 1$ , and  $y = 1$  when  $x > 1$ . The function, however, is *just one function* whose domain is the entire set of real numbers (Figure 1.9). ■

**EXAMPLE 5** The function whose value at any number  $x$  is the *greatest integer less than or equal to*  $x$  is called the **greatest integer function** or the **integer floor function**. It is denoted  $[x]$ . Figure 1.10 shows the graph. Observe that

$$\begin{aligned} [2.4] &= 2, & [1.9] &= 1, & [0] &= 0, & [-1.2] &= -2, \\ [2] &= 2, & [0.2] &= 0, & [-0.3] &= -1, & [-2] &= -2. \end{aligned}$$

**EXAMPLE 6** The function whose value at any number  $x$  is the *smallest integer greater than or equal to*  $x$  is called the **least integer function** or the **integer ceiling function**. It is denoted  $\lceil x \rceil$ . Figure 1.11 shows the graph. For positive values of  $x$ , this function might represent, for example, the cost of parking  $x$  hours in a parking lot that charges \$1 for each hour or part of an hour. ■



**FIGURE 1.11** The graph of the least integer function  $y = [x]$  lies on or above the line  $y = x$ , so it provides an integer ceiling for  $x$  (Example 6).

### Increasing and Decreasing Functions

If the graph of a function *climbs* or *rises* as you move from left to right, we say that the function is *increasing*. If the graph *descends* or *falls* as you move from left to right, the function is *decreasing*.

**DEFINITIONS** Let  $f$  be a function defined on an interval  $I$  and let  $x_1$  and  $x_2$  be any two points in  $I$ .

1. If  $f(x_2) > f(x_1)$  whenever  $x_1 < x_2$ , then  $f$  is said to be **increasing** on  $I$ .
2. If  $f(x_2) < f(x_1)$  whenever  $x_1 < x_2$ , then  $f$  is said to be **decreasing** on  $I$ .

It is important to realize that the definitions of increasing and decreasing functions must be satisfied for *every* pair of points  $x_1$  and  $x_2$  in  $I$  with  $x_1 < x_2$ . Because we use the inequality  $<$  to compare the function values, instead of  $\leq$ , it is sometimes said that  $f$  is *strictly* increasing or decreasing on  $I$ . The interval  $I$  may be finite (also called bounded) or infinite (unbounded) and by definition never consists of a single point (Appendix 1).

**EXAMPLE 7** The function graphed in Figure 1.9 is decreasing on  $(-\infty, 0]$  and increasing on  $[0, 1]$ . The function is neither increasing nor decreasing on the interval  $[1, \infty)$  because of the strict inequalities used to compare the function values in the definitions. ■

### Even Functions and Odd Functions: Symmetry

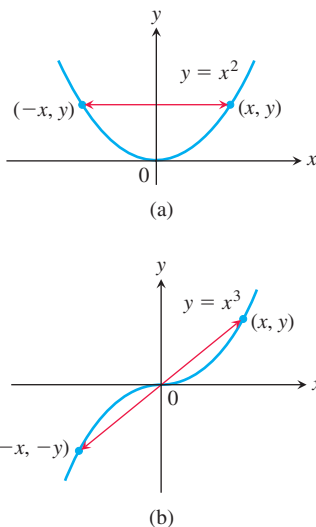
The graphs of *even* and *odd* functions have characteristic symmetry properties.

**DEFINITIONS** A function  $y = f(x)$  is an

**even function of  $x$**  if  $f(-x) = f(x)$ ,

**odd function of  $x$**  if  $f(-x) = -f(x)$ ,

for every  $x$  in the function's domain.



**FIGURE 1.12** (a) The graph of  $y = x^2$  (an even function) is symmetric about the  $y$ -axis. (b) The graph of  $y = x^3$  (an odd function) is symmetric about the origin.

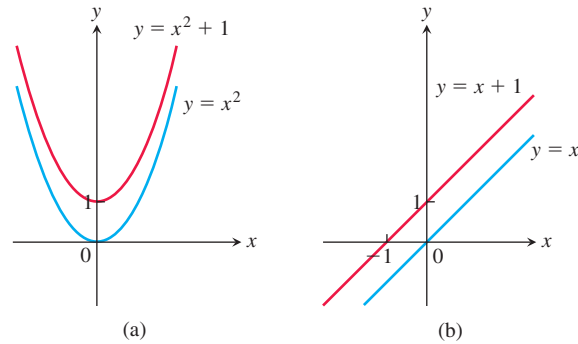
The names *even* and *odd* come from powers of  $x$ . If  $y$  is an even power of  $x$ , as in  $y = x^2$  or  $y = x^4$ , it is an even function of  $x$  because  $(-x)^2 = x^2$  and  $(-x)^4 = x^4$ . If  $y$  is an odd power of  $x$ , as in  $y = x$  or  $y = x^3$ , it is an odd function of  $x$  because  $(-x)^1 = -x$  and  $(-x)^3 = -x^3$ .

The graph of an even function is **symmetric about the  $y$ -axis**. Since  $f(-x) = f(x)$ , a point  $(x, y)$  lies on the graph if and only if the point  $(-x, y)$  lies on the graph (Figure 1.12a). A reflection across the  $y$ -axis leaves the graph unchanged.

The graph of an odd function is **symmetric about the origin**. Since  $f(-x) = -f(x)$ , a point  $(x, y)$  lies on the graph if and only if the point  $(-x, -y)$  lies on the graph (Figure 1.12b). Equivalently, a graph is symmetric about the origin if a rotation of  $180^\circ$  about the origin leaves the graph unchanged. Notice that the definitions imply that both  $x$  and  $-x$  must be in the domain of  $f$ .

**EXAMPLE 8** Here are several functions illustrating the definition.

- |                  |   |
|------------------|---|
| $f(x) = x^2$     | Even function: $(-x)^2 = x^2$ for all $x$ ; symmetry about $y$ -axis.   |
| $f(x) = x^2 + 1$ | Even function: $(-x)^2 + 1 = x^2 + 1$ for all $x$ ; symmetry about $y$ -axis (Figure 1.13a).  |
| $f(x) = x$       | Odd function: $(-x) = -x$ for all $x$ ; symmetry about the origin.  |
| $f(x) = x + 1$   | Not odd: $f(-x) = -x + 1$ , but $-f(x) = -x - 1$ . The two are not equal.<br>Not even: $(-x) + 1 \neq x + 1$ for all $x \neq 0$ (Figure 1.13b). ■ |

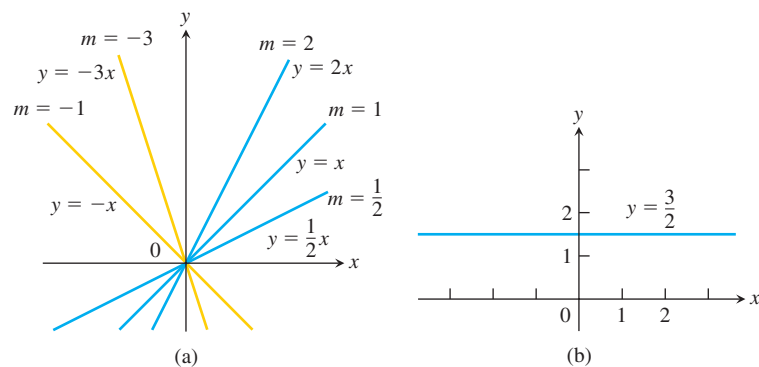


**FIGURE 1.13** (a) When we add the constant term 1 to the function  $y = x^2$ , the resulting function  $y = x^2 + 1$  is still even and its graph is still symmetric about the  $y$ -axis. (b) When we add the constant term 1 to the function  $y = x$ , the resulting function  $y = x + 1$  is no longer odd, since the symmetry about the origin is lost. The function  $y = x + 1$  is also not even (Example 8).

### Common Functions

A variety of important types of functions are frequently encountered in calculus. We identify and briefly describe them here.

**Linear Functions** A function of the form  $f(x) = mx + b$ , for constants  $m$  and  $b$ , is called a **linear function**. Figure 1.14a shows an array of lines  $f(x) = mx$  where  $b = 0$ , so these lines pass through the origin. The function  $f(x) = x$  where  $m = 1$  and  $b = 0$  is called the **identity function**. Constant functions result when the slope  $m = 0$  (Figure 1.14b). A linear function with positive slope whose graph passes through the origin is called a *proportionality* relationship.



**FIGURE 1.14** (a) Lines through the origin with slope  $m$ . (b) A constant function with slope  $m = 0$ .

**DEFINITION** Two variables  $y$  and  $x$  are **proportional** (to one another) if one is always a constant multiple of the other; that is, if  $y = kx$  for some nonzero constant  $k$ .

If the variable  $y$  is proportional to the reciprocal  $1/x$ , then sometimes it is said that  $y$  is **inversely proportional** to  $x$  (because  $1/x$  is the multiplicative inverse of  $x$ ).

**Power Functions** A function  $f(x) = x^a$ , where  $a$  is a constant, is called a **power function**. There are several important cases to consider.

(a)  $a = n$ , a positive integer.

The graphs of  $f(x) = x^n$ , for  $n = 1, 2, 3, 4, 5$ , are displayed in Figure 1.15. These functions are defined for all real values of  $x$ . Notice that as the power  $n$  gets larger, the curves tend to flatten toward the  $x$ -axis on the interval  $(-1, 1)$ , and to rise more steeply for  $|x| > 1$ . Each curve passes through the point  $(1, 1)$  and through the origin. The graphs of functions with even powers are symmetric about the  $y$ -axis; those with odd powers are symmetric about the origin. The even-powered functions are decreasing on the interval  $(-\infty, 0]$  and increasing on  $[0, \infty)$ ; the odd-powered functions are increasing over the entire real line  $(-\infty, \infty)$ .

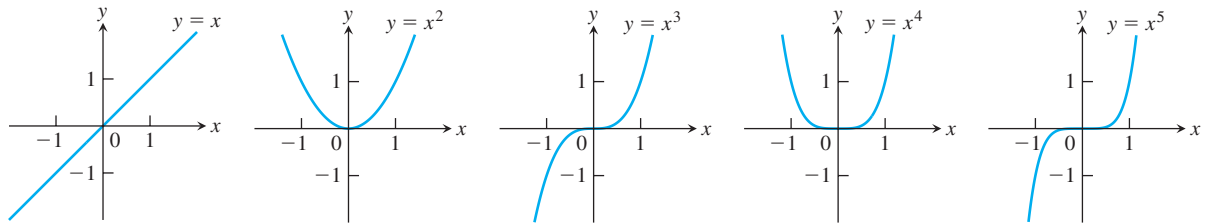


FIGURE 1.15 Graphs of  $f(x) = x^n$ ,  $n = 1, 2, 3, 4, 5$ , defined for  $-\infty < x < \infty$ .

(b)  $a = -1$  or  $a = -2$ .

The graphs of the functions  $f(x) = x^{-1} = 1/x$  and  $g(x) = x^{-2} = 1/x^2$  are shown in Figure 1.16. Both functions are defined for all  $x \neq 0$  (you can never divide by zero). The graph of  $y = 1/x$  is the hyperbola  $xy = 1$ , which approaches the coordinate axes far from the origin. The graph of  $y = 1/x^2$  also approaches the coordinate axes. The graph of the function  $f$  is symmetric about the origin;  $f$  is decreasing on the intervals  $(-\infty, 0)$  and  $(0, \infty)$ . The graph of the function  $g$  is symmetric about the  $y$ -axis;  $g$  is increasing on  $(-\infty, 0)$  and decreasing on  $(0, \infty)$ .

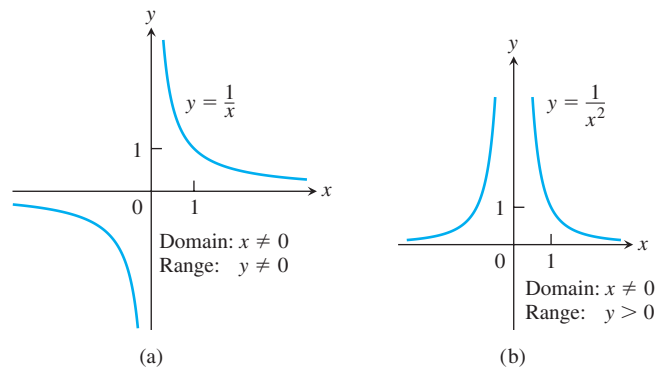


FIGURE 1.16 Graphs of the power functions  $f(x) = x^a$  for part (a)  $a = -1$  and for part (b)  $a = -2$ .

(c)  $a = \frac{1}{2}, \frac{1}{3}, \frac{3}{2}$ , and  $\frac{2}{3}$ .

The functions  $f(x) = x^{1/2} = \sqrt{x}$  and  $g(x) = x^{1/3} = \sqrt[3]{x}$  are the **square root** and **cube root** functions, respectively. The domain of the square root function is  $[0, \infty)$ , but the cube root function is defined for all real  $x$ . Their graphs are displayed in Figure 1.17, along with the graphs of  $y = x^{3/2}$  and  $y = x^{2/3}$ . (Recall that  $x^{3/2} = (x^{1/2})^3$  and  $x^{2/3} = (x^{1/3})^2$ .)

**Polynomials** A function  $p$  is a **polynomial** if

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$$

where  $n$  is a nonnegative integer and the numbers  $a_0, a_1, a_2, \dots, a_n$  are real constants (called the **coefficients** of the polynomial). All polynomials have domain  $(-\infty, \infty)$ . If the

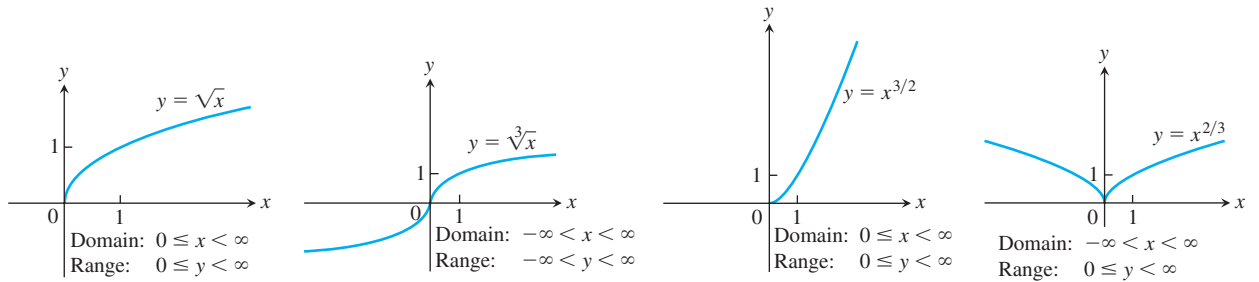


FIGURE 1.17 Graphs of the power functions  $f(x) = x^a$  for  $a = \frac{1}{2}, \frac{1}{3}, \frac{3}{2},$  and  $\frac{2}{3}$ .

leading coefficient  $a_n \neq 0$  and  $n > 0$ , then  $n$  is called the **degree** of the polynomial. Linear functions with  $m \neq 0$  are polynomials of degree 1. Polynomials of degree 2, usually written as  $p(x) = ax^2 + bx + c$ , are called **quadratic functions**. Likewise, **cubic functions** are polynomials  $p(x) = ax^3 + bx^2 + cx + d$  of degree 3. Figure 1.18 shows the graphs of three polynomials. Techniques to graph polynomials are studied in Chapter 4.

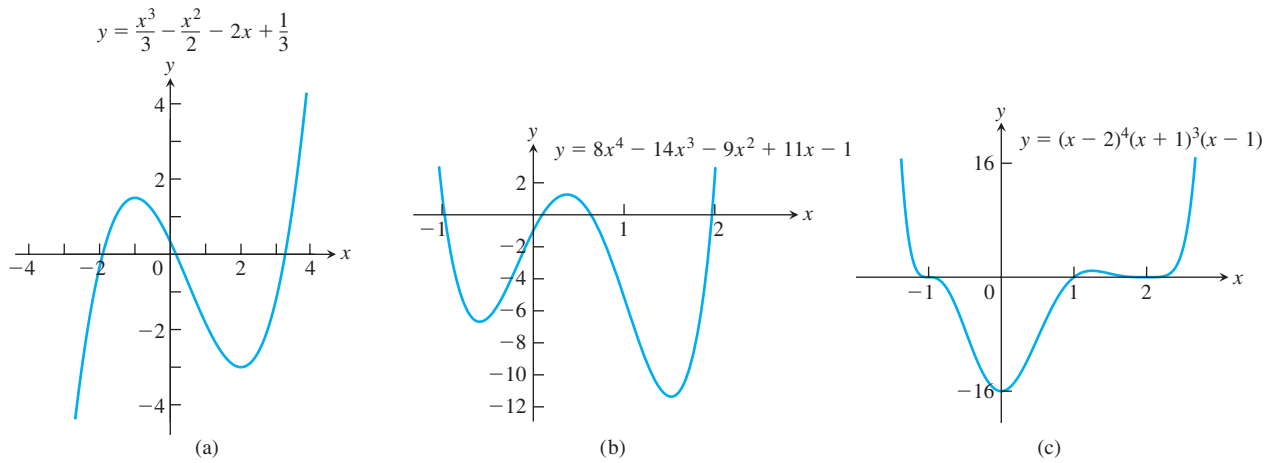


FIGURE 1.18 Graphs of three polynomial functions.

**Rational Functions** A **rational function** is a quotient or ratio  $f(x) = p(x)/q(x)$ , where  $p$  and  $q$  are polynomials. The domain of a rational function is the set of all real  $x$  for which  $q(x) \neq 0$ . The graphs of several rational functions are shown in Figure 1.19.

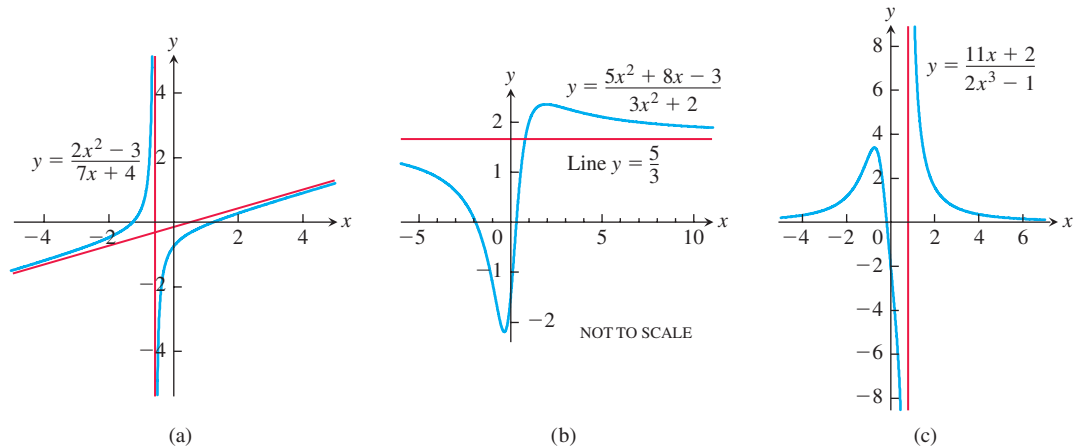


FIGURE 1.19 Graphs of three rational functions. The straight red lines approached by the graphs are called **asymptotes** and are not part of the graphs. We discuss asymptotes in Section 2.6.



**Algebraic Functions** Any function constructed from polynomials using algebraic operations (addition, subtraction, multiplication, division, and taking roots) lies within the class of **algebraic functions**. All rational functions are algebraic, but also included are more complicated functions (such as those satisfying an equation like  $y^3 - 9xy + x^3 = 0$ , studied in Section 3.7). Figure 1.20 displays the graphs of three algebraic functions.

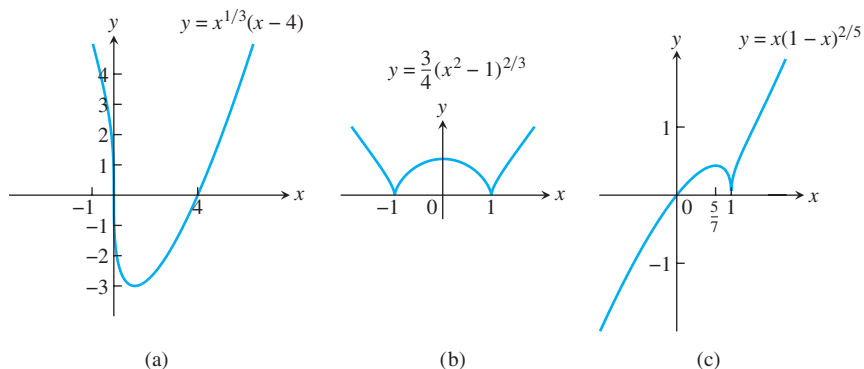


FIGURE 1.20 Graphs of three algebraic functions.

**Trigonometric Functions** The six basic trigonometric functions are reviewed in Section 1.3. The graphs of the sine and cosine functions are shown in Figure 1.21.

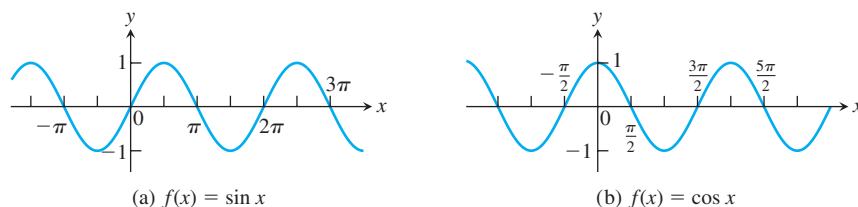


FIGURE 1.21 Graphs of the sine and cosine functions.

**Exponential Functions** Functions of the form  $f(x) = a^x$ , where the base  $a > 0$  is a positive constant and  $a \neq 1$ , are called **exponential functions**. All exponential functions have domain  $(-\infty, \infty)$  and range  $(0, \infty)$ , so an exponential function never assumes the value 0. We discuss exponential functions in Section 1.5. The graphs of some exponential functions are shown in Figure 1.22.

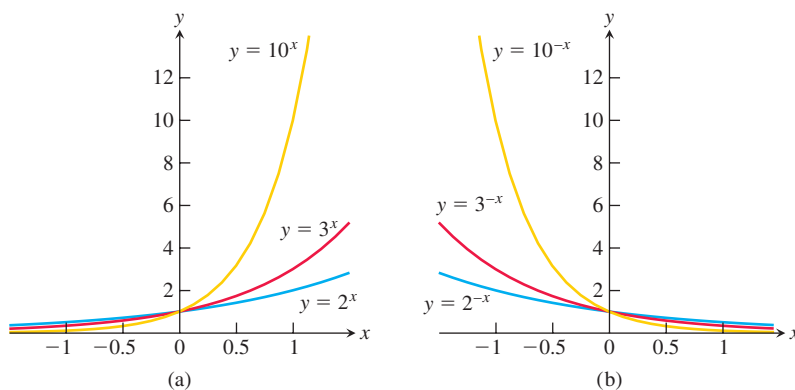
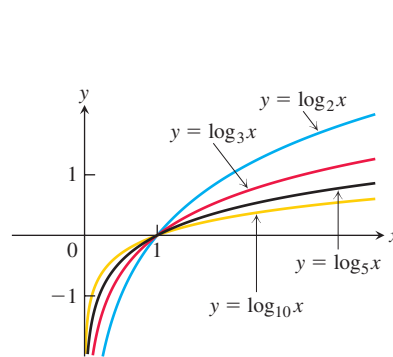
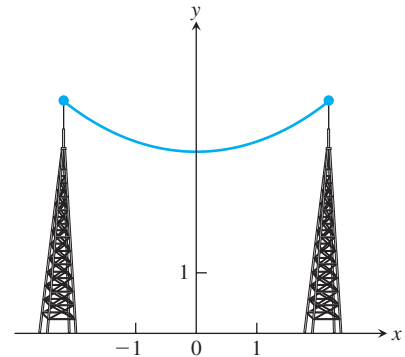


FIGURE 1.22 Graphs of exponential functions.

**Logarithmic Functions** These are the functions  $f(x) = \log_a x$ , where the base  $a \neq 1$  is a positive constant. They are the *inverse functions* of the exponential functions, and we discuss these functions in Section 1.6. Figure 1.23 shows the graphs of four logarithmic functions with various bases. In each case the domain is  $(0, \infty)$  and the range is  $(-\infty, \infty)$ .



**FIGURE 1.23** Graphs of four logarithmic functions.



**FIGURE 1.24** Graph of a catenary or hanging cable. (The Latin word *catena* means “chain.”)

**Transcendental Functions** These are functions that are not algebraic. They include the trigonometric, inverse trigonometric, exponential, and logarithmic functions, and many other functions as well. A particular example of a transcendental function is a **catenary**. Its graph has the shape of a cable, like a telephone line or electric cable, strung from one support to another and hanging freely under its own weight (Figure 1.24). The function defining the graph is discussed in Section 7.3.

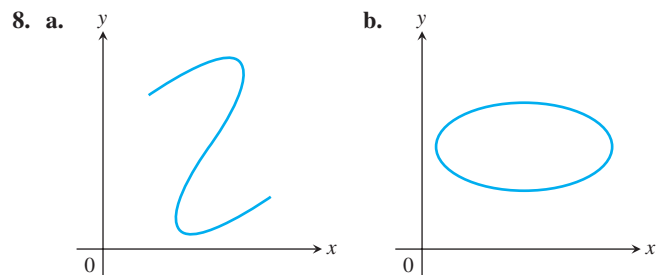
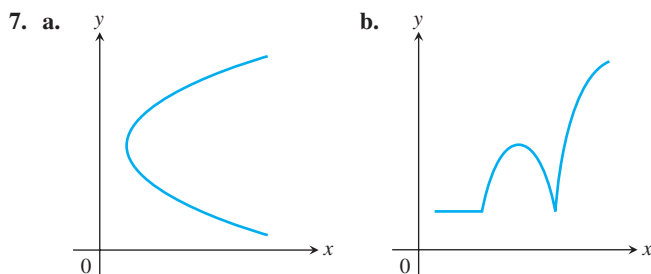
## Exercises 1.1

### Functions

In Exercises 1–6, find the domain and range of each function.

1.  $f(x) = 1 + x^2$
2.  $f(x) = 1 - \sqrt{x}$
3.  $F(x) = \sqrt{5x + 10}$
4.  $g(x) = \sqrt{x^2 - 3x}$
5.  $f(t) = \frac{4}{3 - t}$
6.  $G(t) = \frac{2}{t^2 - 16}$

In Exercises 7 and 8, which of the graphs are graphs of functions of  $x$ , and which are not? Give reasons for your answers.



### Finding Formulas for Functions

9. Express the area and perimeter of an equilateral triangle as a function of the triangle's side length  $x$ .
10. Express the side length of a square as a function of the length  $d$  of the square's diagonal. Then express the area as a function of the diagonal length.
11. Express the edge length of a cube as a function of the cube's diagonal length  $d$ . Then express the surface area and volume of the cube as a function of the diagonal length.

12. A point  $P$  in the first quadrant lies on the graph of the function  $f(x) = \sqrt{x}$ . Express the coordinates of  $P$  as functions of the slope of the line joining  $P$  to the origin.
13. Consider the point  $(x, y)$  lying on the graph of the line  $2x + 4y = 5$ . Let  $L$  be the distance from the point  $(x, y)$  to the origin  $(0, 0)$ . Write  $L$  as a function of  $x$ .
14. Consider the point  $(x, y)$  lying on the graph of  $y = \sqrt{x - 3}$ . Let  $L$  be the distance between the points  $(x, y)$  and  $(4, 0)$ . Write  $L$  as a function of  $y$ .

**Functions and Graphs**

Find the natural domain and graph the functions in Exercises 15–20.

15.  $f(x) = 5 - 2x$                       16.  $f(x) = 1 - 2x - x^2$   
 17.  $g(x) = \sqrt{|x|}$                       18.  $g(x) = \sqrt{-x}$   
 19.  $F(t) = t/|t|$                       20.  $G(t) = 1/|t|$

21. Find the domain of  $y = \frac{x + 3}{4 - \sqrt{x^2 - 9}}$ .

22. Find the range of  $y = 2 + \frac{x^2}{x^2 + 4}$ .

23. Graph the following equations and explain why they are not graphs of functions of  $x$ .

- a.  $|y| = x$                               b.  $y^2 = x^2$

24. Graph the following equations and explain why they are not graphs of functions of  $x$ .

- a.  $|x| + |y| = 1$                       b.  $|x + y| = 1$

**Piecewise-Defined Functions**

Graph the functions in Exercises 25–28.

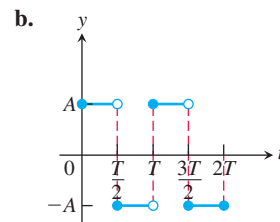
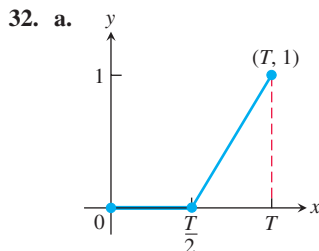
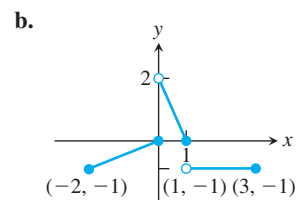
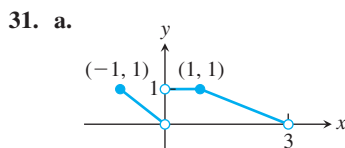
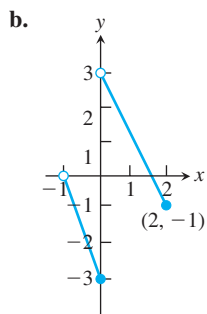
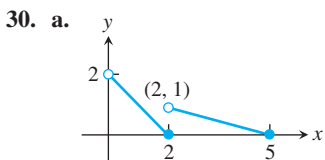
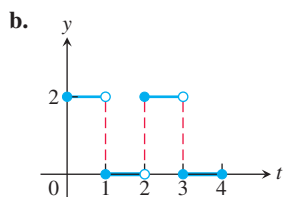
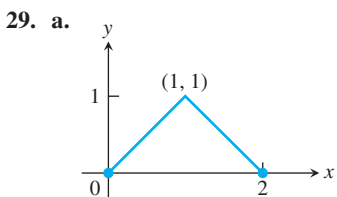
25.  $f(x) = \begin{cases} x, & 0 \leq x \leq 1 \\ 2 - x, & 1 < x \leq 2 \end{cases}$

26.  $g(x) = \begin{cases} 1 - x, & 0 \leq x \leq 1 \\ 2 - x, & 1 < x \leq 2 \end{cases}$

27.  $F(x) = \begin{cases} 4 - x^2, & x \leq 1 \\ x^2 + 2x, & x > 1 \end{cases}$

28.  $G(x) = \begin{cases} 1/x, & x < 0 \\ x, & 0 \leq x \end{cases}$

Find a formula for each function graphed in Exercises 29–32.



**The Greatest and Least Integer Functions**

33. For what values of  $x$  is  
 a.  $\lfloor x \rfloor = 0$ ?                              b.  $\lceil x \rceil = 0$ ?
34. What real numbers  $x$  satisfy the equation  $\lfloor x \rfloor = \lceil x \rceil$ ?
35. Does  $\lceil -x \rceil = -\lfloor x \rfloor$  for all real  $x$ ? Give reasons for your answer.
36. Graph the function

$$f(x) = \begin{cases} \lfloor x \rfloor, & x \geq 0 \\ \lceil x \rceil, & x < 0. \end{cases}$$

Why is  $f(x)$  called the *integer part* of  $x$ ?

**Increasing and Decreasing Functions**

Graph the functions in Exercises 37–46. What symmetries, if any, do the graphs have? Specify the intervals over which the function is increasing and the intervals where it is decreasing.

37.  $y = -x^3$                               38.  $y = -\frac{1}{x^2}$   
 39.  $y = -\frac{1}{x}$                               40.  $y = \frac{1}{|x|}$   
 41.  $y = \sqrt{|x|}$                               42.  $y = \sqrt{-x}$   
 43.  $y = x^3/8$                               44.  $y = -4\sqrt{x}$   
 45.  $y = -x^{3/2}$                               46.  $y = (-x)^{2/3}$

**Even and Odd Functions**

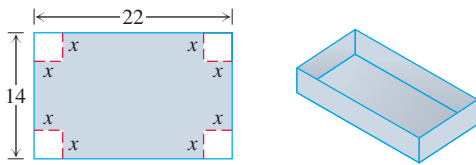
In Exercises 47–58, say whether the function is even, odd, or neither. Give reasons for your answer.

47.  $f(x) = 3$                               48.  $f(x) = x^{-5}$   
 49.  $f(x) = x^2 + 1$                               50.  $f(x) = x^2 + x$   
 51.  $g(x) = x^3 + x$                               52.  $g(x) = x^4 + 3x^2 - 1$   
 53.  $g(x) = \frac{1}{x^2 - 1}$                               54.  $g(x) = \frac{x}{x^2 - 1}$   
 55.  $h(t) = \frac{1}{t - 1}$                               56.  $h(t) = |t^3|$   
 57.  $h(t) = 2t + 1$                               58.  $h(t) = 2|t| + 1$

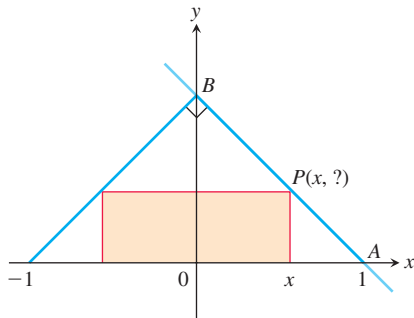
**Theory and Examples**

59. The variable  $s$  is proportional to  $t$ , and  $s = 25$  when  $t = 75$ . Determine  $t$  when  $s = 60$ .

- 60. Kinetic energy** The kinetic energy  $K$  of a mass is proportional to the square of its velocity  $v$ . If  $K = 12,960$  joules when  $v = 18$  m/sec, what is  $K$  when  $v = 10$  m/sec?
- 61.** The variables  $r$  and  $s$  are inversely proportional, and  $r = 6$  when  $s = 4$ . Determine  $s$  when  $r = 10$ .
- 62. Boyle's Law** Boyle's Law says that the volume  $V$  of a gas at constant temperature increases whenever the pressure  $P$  decreases, so that  $V$  and  $P$  are inversely proportional. If  $P = 14.7$  lb/in<sup>2</sup> when  $V = 1000$  in<sup>3</sup>, then what is  $V$  when  $P = 23.4$  lb/in<sup>2</sup>?
- 63.** A box with an open top is to be constructed from a rectangular piece of cardboard with dimensions 14 in. by 22 in. by cutting out equal squares of side  $x$  at each corner and then folding up the sides as in the figure. Express the volume  $V$  of the box as a function of  $x$ .

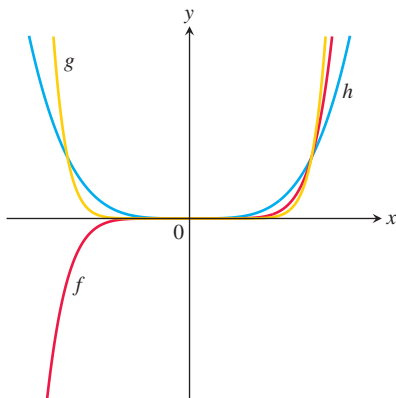


- 64.** The accompanying figure shows a rectangle inscribed in an isosceles right triangle whose hypotenuse is 2 units long.
- Express the  $y$ -coordinate of  $P$  in terms of  $x$ . (You might start by writing an equation for the line  $AB$ .)
  - Express the area of the rectangle in terms of  $x$ .

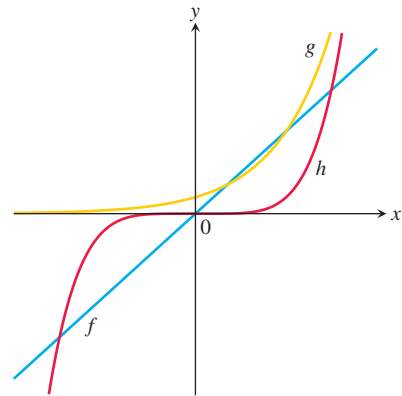


In Exercises 65 and 66, match each equation with its graph. Do not use a graphing device, and give reasons for your answer.

- 65.** a.  $y = x^4$       b.  $y = x^7$       c.  $y = x^{10}$



- 66.** a.  $y = 5x$       b.  $y = 5^x$       c.  $y = x^5$



- T 67.** a. Graph the functions  $f(x) = x/2$  and  $g(x) = 1 + (4/x)$  together to identify the values of  $x$  for which

$$\frac{x}{2} > 1 + \frac{4}{x}.$$

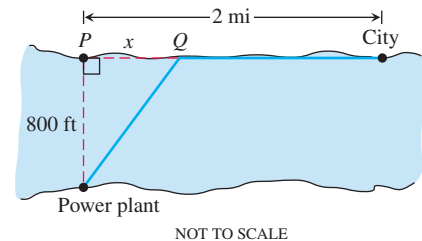
- b. Confirm your findings in part (a) algebraically.

- T 68.** a. Graph the functions  $f(x) = 3/(x - 1)$  and  $g(x) = 2/(x + 1)$  together to identify the values of  $x$  for which

$$\frac{3}{x - 1} < \frac{2}{x + 1}.$$

- b. Confirm your findings in part (a) algebraically.

- 69.** For a curve to be *symmetric about the  $x$ -axis*, the point  $(x, y)$  must lie on the curve if and only if the point  $(x, -y)$  lies on the curve. Explain why a curve that is symmetric about the  $x$ -axis is not the graph of a function, unless the function is  $y = 0$ .
- 70.** Three hundred books sell for \$40 each, resulting in a revenue of  $(300)(\$40) = \$12,000$ . For each \$5 increase in the price, 25 fewer books are sold. Write the revenue  $R$  as a function of the number  $x$  of \$5 increases.
- 71.** A pen in the shape of an isosceles right triangle with legs of length  $x$  ft and hypotenuse of length  $h$  ft is to be built. If fencing costs \$5/ft for the legs and \$10/ft for the hypotenuse, write the total cost  $C$  of construction as a function of  $h$ .
- 72. Industrial costs** A power plant sits next to a river where the river is 800 ft wide. To lay a new cable from the plant to a location in the city 2 mi downstream on the opposite side costs \$180 per foot across the river and \$100 per foot along the land.



- Suppose that the cable goes from the plant to a point  $Q$  on the opposite side that is  $x$  ft from the point  $P$  directly opposite the plant. Write a function  $C(x)$  that gives the cost of laying the cable in terms of the distance  $x$ .
- Generate a table of values to determine if the least expensive location for point  $Q$  is less than 2000 ft or greater than 2000 ft from point  $P$ .

## 1.2 Combining Functions; Shifting and Scaling Graphs

In this section we look at the main ways functions are combined or transformed to form new functions.

### Sums, Differences, Products, and Quotients

Like numbers, functions can be added, subtracted, multiplied, and divided (except where the denominator is zero) to produce new functions. If  $f$  and  $g$  are functions, then for every  $x$  that belongs to the domains of both  $f$  and  $g$  (that is, for  $x \in D(f) \cap D(g)$ ), we define functions  $f + g$ ,  $f - g$ , and  $fg$  by the formulas

$$(f + g)(x) = f(x) + g(x)$$

$$(f - g)(x) = f(x) - g(x)$$

$$(fg)(x) = f(x)g(x).$$

Notice that the  $+$  sign on the left-hand side of the first equation represents the operation of addition of *functions*, whereas the  $+$  on the right-hand side of the equation means addition of the real numbers  $f(x)$  and  $g(x)$ .

At any point of  $D(f) \cap D(g)$  at which  $g(x) \neq 0$ , we can also define the function  $f/g$  by the formula

$$\left(\frac{f}{g}\right)(x) = \frac{f(x)}{g(x)} \quad (\text{where } g(x) \neq 0).$$

Functions can also be multiplied by constants: If  $c$  is a real number, then the function  $cf$  is defined for all  $x$  in the domain of  $f$  by

$$(cf)(x) = cf(x).$$

**EXAMPLE 1** The functions defined by the formulas

$$f(x) = \sqrt{x} \quad \text{and} \quad g(x) = \sqrt{1-x}$$

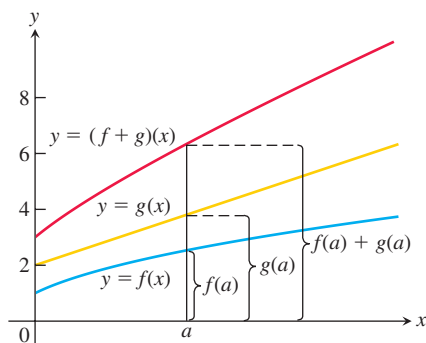
have domains  $D(f) = [0, \infty)$  and  $D(g) = (-\infty, 1]$ . The points common to these domains are the points

$$[0, \infty) \cap (-\infty, 1] = [0, 1].$$

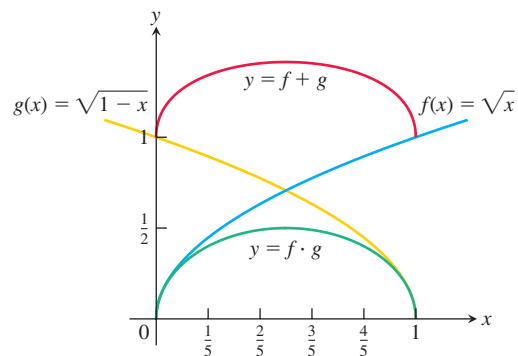
The following table summarizes the formulas and domains for the various algebraic combinations of the two functions. We also write  $f \cdot g$  for the product function  $fg$ .

Function	Formula	Domain
$f + g$	$(f + g)(x) = \sqrt{x} + \sqrt{1-x}$	$[0, 1] = D(f) \cap D(g)$
$f - g$	$(f - g)(x) = \sqrt{x} - \sqrt{1-x}$	$[0, 1]$
$g - f$	$(g - f)(x) = \sqrt{1-x} - \sqrt{x}$	$[0, 1]$
$f \cdot g$	$(f \cdot g)(x) = f(x)g(x) = \sqrt{x(1-x)}$	$[0, 1]$
$f/g$	$\frac{f}{g}(x) = \frac{f(x)}{g(x)} = \sqrt{\frac{x}{1-x}}$	$[0, 1)(x = 1 \text{ excluded})$
$g/f$	$\frac{g}{f}(x) = \frac{g(x)}{f(x)} = \sqrt{\frac{1-x}{x}}$	$(0, 1](x = 0 \text{ excluded})$

The graph of the function  $f + g$  is obtained from the graphs of  $f$  and  $g$  by adding the corresponding  $y$ -coordinates  $f(x)$  and  $g(x)$  at each point  $x \in D(f) \cap D(g)$ , as in Figure 1.25. The graphs of  $f + g$  and  $f \cdot g$  from Example 1 are shown in Figure 1.26.



**FIGURE 1.25** Graphical addition of two functions.



**FIGURE 1.26** The domain of the function  $f + g$  is the intersection of the domains of  $f$  and  $g$ , the interval  $[0, 1]$  on the  $x$ -axis where these domains overlap. This interval is also the domain of the function  $f \cdot g$  (Example 1).

### Composite Functions

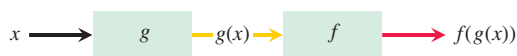
Composition is another method for combining functions.

**DEFINITION** If  $f$  and  $g$  are functions, the **composite** function  $f \circ g$  (“ $f$  composed with  $g$ ”) is defined by

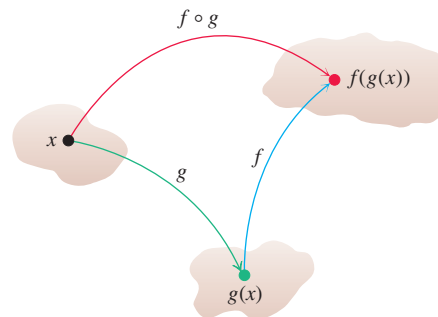
$$(f \circ g)(x) = f(g(x)).$$

The domain of  $f \circ g$  consists of the numbers  $x$  in the domain of  $g$  for which  $g(x)$  lies in the domain of  $f$ .

The definition implies that  $f \circ g$  can be formed when the range of  $g$  lies in the domain of  $f$ . To find  $(f \circ g)(x)$ , *first* find  $g(x)$  and *second* find  $f(g(x))$ . Figure 1.27 pictures  $f \circ g$  as a machine diagram, and Figure 1.28 shows the composite as an arrow diagram.



**FIGURE 1.27** A composite function  $f \circ g$  uses the output  $g(x)$  of the first function  $g$  as the input for the second function  $f$ .



**FIGURE 1.28** Arrow diagram for  $f \circ g$ . If  $x$  lies in the domain of  $g$  and  $g(x)$  lies in the domain of  $f$ , then the functions  $f$  and  $g$  can be composed to form  $(f \circ g)(x)$ .

To evaluate the composite function  $g \circ f$  (when defined), we find  $f(x)$  first and then  $g(f(x))$ . The domain of  $g \circ f$  is the set of numbers  $x$  in the domain of  $f$  such that  $f(x)$  lies in the domain of  $g$ .

The functions  $f \circ g$  and  $g \circ f$  are usually quite different.